Abstraction, composition, and the phase distinction

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[Announcements / important dates]

- ► Thesis defense (First Steps in Synthetic Tait Computability) scheduled for September 13, 1:30PM. Please attend (remotely)!
- Starting postdoc at Aarhus University with Lars Birkedal in September.

Software engineering is about division of labor

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This talk: separate compilation vs. inlining

Separate compilation = compiling each program unit as a *function* of the other units it depends on.

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Alternative: whole-program analysis à la Mlton. Works great, but very slow and memory-intensive. **We want** to put the choice in the programmer's hands.

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Programs are divided into compilation units; units are classified by an *interface* that represents their imports and exports.

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Example

A fragment of the (idealized) interface to OS.FileSys in SML's Basis Library:

```
import
 option : type \rightarrow type
 some : (\alpha : \mathbf{type}) \to \alpha \to \mathsf{option}(\alpha),
 none : (\alpha : \mathbf{type}) \rightarrow \mathsf{option}(\alpha),
 case : (\alpha, \beta : \mathsf{type}) \to (\alpha \to \beta) \to \beta \to \mathsf{option}(\alpha) \to \beta,
export
 dirstream : type,
 opendir : string \rightarrow dirstream,
 readdir : dirstream \rightarrow option(string),
 . . .
```

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export
dirpath : \{type \hookrightarrow string\},\ dirstream : type,
  opendir : <math>dirpath \rightarrow dirstream,
```

Inlining problem is similar, but we want to reveal representation details to the **compiler** but **not** the programmer. Need for *controlled revelation*.

. . .

Example: abstract types and the need for inlining

The OS.FileSys unit is generic in *any* implementation of the 'option' data type and its pattern matching principle: *algebraic data types are abstract data types* (Harper, 2013).

```
import
```

```
\begin{array}{l} \operatorname{option}: \mathbf{type} \to \mathbf{type}, \\ \operatorname{some}: (\alpha: \mathbf{type}) \to \alpha \to \operatorname{option}(\alpha), \\ \operatorname{none}: (\alpha: \mathbf{type}) \to \operatorname{option}(\alpha), \\ \operatorname{case}: (\alpha, \beta: \mathbf{type}) \to (\alpha \to \beta) \to \beta \to \operatorname{option}(\alpha) \to \beta, \\ \dots \\ \mathbf{export} \\ \operatorname{dirstream}: \mathbf{type}, \\ \operatorname{opendir}: \operatorname{string} \to \operatorname{dirstream}, \\ \operatorname{readdir}: \operatorname{dirstream} \to \operatorname{option}(\operatorname{string}), \\ \dots \end{array}
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option : type \rightarrow type, some : (\alpha : \mathbf{type}) \rightarrow \alpha \rightarrow \operatorname{option}(\alpha), none : (\alpha : \mathbf{type}) \rightarrow \operatorname{option}(\alpha), case : (\alpha, \beta : \mathbf{type}) \rightarrow (\alpha \rightarrow \beta) \rightarrow \beta \rightarrow \operatorname{option}(\alpha) \rightarrow \beta, ... export dirstream : type, opendir : string \rightarrow dirstream, readdir : dirstream \rightarrow option(string), ...
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Good for modularity, but terrible for pattern compilation. Inlining the actual "fast path" representation is necessary!

Exporting definitions is not enough!

What about inlining of *values*? Both Stone (2000) and Leroy (2000) propose to address the inlining problem for both types and runtime values by adding singleton *types*:

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$$a: \{ \text{type} \hookrightarrow \text{int} \}, x: \{ a \hookrightarrow 5 \}$$

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$$a: \{ \text{type} \hookrightarrow \text{int} \}, x: \{ a \hookrightarrow 5 \}$$

But: singletons reveal representation details to **both** programmer and compiler, defeating the purpose of introducing abstraction.

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- Exploitation of representation invariants:
 - ▶ A batched queue behaves like an ordinary queue if you only use the queue operations.
 - A polymorphic function $\operatorname{list}(\alpha) \to \operatorname{list}(\alpha)$ can only permute, drop, and duplicate elements from its input.
 - ▶ Any polymorphic function $\alpha \rightarrow$ int is constant.

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Abstraction simplifies both programming and verification tasks.

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We propose a unification of the two ideas, negotiated by a **phase distinction**.

Reynolds' Dictum and the Phase Distinction

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Our claim: the classic ML Family phase distinction provides crucial insight to implement Reynolds' Dictum.

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Nonetheless, other useful phase distinctions abound:

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- 2. behavior vs. cost/complexity (Niu et al., 2021)
- 3. computation vs. specification (Melliès and Zeilberger, 2015)
- 4. (this talk) compilation vs. programming

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- ▶ Phases are a partial order $\mathcal{O} = \{\mathbf{C} \leq \top\}$ where \top represents "now". The (total) partial singleton $\{\tau \mid \top \hookrightarrow e\}$ is the singleton $\{\tau \hookrightarrow e\}$.

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- ▶ Judgments $\Gamma \vdash_{\varphi} e : \tau$ and $\Gamma \vdash_{\varphi} e \equiv e' : \tau$ are *contravariantly* indexed in phases $\varphi \in \mathcal{O}$.

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```
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```

```
\label{eq:hid:proposed} \begin{split} & \mathsf{hid} : \{ \mathbf{type} \mid \mathbf{C} \hookrightarrow \mathsf{unsigned short} \}, \\ & \mathsf{qr}, \mathsf{opcode}, \mathsf{aa}, \ldots : \{ \mathbf{type} \mid \mathbf{C} \hookrightarrow \mathsf{unsigned char} \}, \\ & \mathsf{header} : \{ \mathbf{type} \hookrightarrow \mathsf{hid} \times \mathsf{qr} \times \mathsf{opcode} \times \mathsf{aa} \times \ldots \}, \\ & \mathbf{export} \\ & \mathsf{parseheader} : \mathsf{bits} \rightarrow \mathsf{option}(\mathsf{header}) \times \mathsf{bits} \end{split}
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header : \{ \text{type} \hookrightarrow \text{hid} \times \text{qr} \times \text{opcode} \times \text{aa} \times \dots \},
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Theorem. The parser does not observably depend on the reprs of opcode, etc.

Compilation proceeds by reindexing along the phase transition $\mathbf{C} \leq_{\mathcal{O}} \mathsf{T}$; we have:

```
\vdash_{\mathsf{C}} header \equiv unsigned short \times unsigned char \times unsigned char \times . . .
```

⇒ unboxed repr possible without breaking programmer-abstractions!

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- Sealing modality $[\varphi \setminus \tau]$ for erasing code from phase φ .

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- ▶ Fracture: any type τ is a subtype of $(\langle \varphi \rangle \tau) \times [\varphi \setminus \tau]$.

Fully reconstructs static/dynamic phase distinction (see LRAT), but also refinement types (e.g. Liquid Haskell), parametricity/logical relations, security typing / IFC.

Sealing: instrumentation sans interference

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Solution: seal the counter variables under the sealing (lax) modality [C τ]; this causes them to be erased by the default compiler. Noninterference / modal phase splitting automatically ensures that input-output behavior of compiled programs cannot depend on the values of counters.

```
val counter : [C \ int] ref =
ref (seal 0)
```

fun myfun () =

mybody()

```
val counter : [C \ int] ref =
  ref (seal 0)
fun myfun () =
  Ref.update (Seal.map Int.incr) counter;
  mybody()
```

the program at phase **C**

```
val counter : unit ref =
  ref ()
fun myfun () =
  Ref.update (Seal.map Int.incr) counter;
  mybody()
```

the program at phase C

```
\label{eq:val} \begin{array}{l} \mbox{val counter}: \mbox{unit ref} = \\ \mbox{ref ()} \\ \\ \mbox{fun myfun ()} = \\ \mbox{Ref.update (fn () <math>\Rightarrow ()) counter;} \\ \mbox{mybody()} \end{array}
```

\simeq the program at phase **C**

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```
val counter : unit ref =
  ref ()
fun myfun () =
  mybody()
```

\simeq the program at phase ${f C}$

```
\begin{array}{l} \textbf{fun} \ \mathsf{myfun} \ () = \\ \\ \mathsf{mybody}() \end{array}
```

Prospects and future work

Several applications of our phase distinction metalanguage already developed:

- [JACM] Parametricity for ML modules (Sterling and Harper, 2021)
- ▶ [LICS'21] Normalization for cubical type theory (Sterling and Angiuli, 2021)
- ▶ Normalization for multi-modal type theory (Gratzer, 2021)
- ► A cost-aware logical framework, proof-relevant type refinements (Niu et al., 2021)

Next steps:

- Develop connection to security typing/IFC (j.w.w. Balzer and Harper)
- Elaboration of high-level module constructs to metalanguage (j.w.w. Harper)
- Adapt for step-indexed logical relations (j.w.w. Birkedal)
- Prototype implementation in cooltt prover (j.w.w. Angiuli, Favonia, Mullanix)

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