

XTT: Cubical Syntax for Extensional Equality

(without equality reflection)

June 11, 2019

Jonathan Sterling¹ Carlo Angiuli¹ Daniel Gratzer²

¹Carnegie Mellon University

²Aarhus University

Equality in type theory

a thorny and controversial subject! here are some words that all type theorists fear:

Equality in type theory

a thorny and controversial subject! here are some words that all type theorists fear: [definitional equality](#),

Equality in type theory

a thorny and controversial subject! here are some words that all type theorists fear: [definitional equality](#), [conversion](#) (???)

Equality in type theory

a thorny and controversial subject! here are some words that all type theorists fear: [definitional equality](#), [conversion \(???\)](#), [judgmental equality](#),

Equality in type theory

a thorny and controversial subject! here are some words that all type theorists fear: definitional equality, conversion (???), judgmental equality, propositional equality, ...

Equality in type theory

a thorny and controversial subject! here are some words that all type theorists fear: *definitional equality*, *conversion* (???), *judgmental equality*, *propositional equality*, ...

the main scientific distinctions that can be made are in fact:

- what equations can the machine take responsibility for? ($\alpha, \delta, \beta, \eta, \xi, \nu, \dots$)
- what equations induce coercions in terms (silent vs. non-silent)? are they (weakly, strictly) coherent?

these considerations are *dialectically linked*

Equality in type theory

a thorny and controversial subject! here are some words that all type theorists fear: **definitional equality**, **conversion** (???), **judgmental equality**, **propositional equality**, ...

the main scientific distinctions that can be made are in fact:

- what equations can the machine take responsibility for? ($\alpha, \delta, \beta, \eta, \xi, \nu, \dots$)
- what equations induce coercions in terms (silent vs. non-silent)? are they (weakly, strictly) coherent?

these considerations are *dialectically linked*

Nuprl and **Andromeda** make all equations “silent”: semantically advantageous, but **unfortunate side effect** is that only α, δ can be fully automated (*).

Equality in type theory

a thorny and controversial subject! here are some words that all type theorists fear: definitional equality, conversion (???), judgmental equality, propositional equality, ...

the main scientific distinctions that can be made are in fact:

- what equations can the machine take responsibility for? ($\alpha, \delta, \beta, \eta, \xi, \nu, \dots$)
- what equations induce coercions in terms (silent vs. non-silent)? are they (weakly, strictly) coherent?

these considerations are *dialectically linked*

Nuprl and **Andromeda** make all equations “silent”: semantically advantageous, but **unfortunate side effect is that only α, δ can be fully automated (*)**.

formalisms based on **ITT** maximize automatic equations, at the cost of some coercions appearing in terms. developing user-friendly **ITT**-style formalisms with well-behaved extensionality principles (**OTT, HoTT, CuTT**) has been a challenge.

Equality in type theory

a thorny and controversial subject! here are some words that all type theorists fear: definitional equality, conversion (???), judgmental equality, propositional equality, ...

the main scientific distinctions that can be made are in fact:

- what equations can the machine take responsibility for? ($\alpha, \delta, \beta, \eta, \xi, \nu, \dots$)
- what equations induce coercions in terms (silent vs. non-silent)? are they (weakly, strictly) coherent?

these considerations are *dialectically linked*

Nuprl and **Andromeda** make all equations “silent”: semantically advantageous, but *unfortunate side effect* is that only α, δ can be fully automated (*).

formalisms based on **ITT** maximize automatic equations, at the cost of some coercions appearing in terms. developing user-friendly **ITT**-style formalisms with well-behaved extensionality principles (**OTT**, **HoTT**, **CuTT**) has been a challenge.

today, we examine XTT: a new take on OTT, using cubes.

Observational Type Theory

a big inspiration for me to get into type theory:

Altenkirch and McBride [AM06]. *Towards Observational Type Theory*.

Altenkirch, McBride, and Swierstra [AMS07]. “Observational Equality, Now!”

Observational Type Theory

a big inspiration for me to get into type theory:

Altenkirch and McBride [AM06]. *Towards Observational Type Theory*.

Altenkirch, McBride, and Swierstra [AMS07]. “Observational Equality, Now!”

- hierarchy of *closed/inductive* universes of Bishop sets, props

Observational Type Theory

a big inspiration for me to get into type theory:

Altenkirch and McBride [AM06]. *Towards Observational Type Theory*.

Altenkirch, McBride, and Swierstra [AMS07]. “Observational Equality, Now!”

- hierarchy of *closed/inductive* universes of Bishop sets, props
- heterogeneous equality type $\mathbf{Eq}(M : A, N : B)$ defined as *generic program*, by recursion on type codes A, B

$$\begin{aligned} \mathbf{Eq}(F_0 : A_0 \rightarrow B_0, F_1 : A_1 \rightarrow B_1) = \\ (x_0 : A_0)(x_1 : A_1)(\tilde{x} : \mathbf{Eq}(x_0 : A_0, x_1 : A_1)) \\ \rightarrow \mathbf{Eq}(F_0(x_0) : B_0, F_1(x_1) : B_1) \end{aligned} \quad (\text{funext})$$

Observational Type Theory

a big inspiration for me to get into type theory:

Altenkirch and McBride [AM06]. *Towards Observational Type Theory*.

Altenkirch, McBride, and Swierstra [AMS07]. “Observational Equality, Now!”

- hierarchy of *closed/inductive* universes of Bishop sets, props
- heterogeneous equality type $\mathbf{Eq}(M : A, N : B)$ defined as *generic program*, by recursion on type codes A, B

$$\begin{aligned} \mathbf{Eq}(F_0 : A_0 \rightarrow B_0, F_1 : A_1 \rightarrow B_1) = \\ (x_0 : A_0)(x_1 : A_1)(\tilde{x} : \mathbf{Eq}(x_0 : A_0, x_1 : A_1)) \\ \rightarrow \mathbf{Eq}(F_0(x_0) : B_0, F_1(x_1) : B_1) \end{aligned} \quad (\text{funext})$$

- judgmental UIP (proof irrelevance)

Observational Type Theory

a big inspiration for me to get into type theory:

Altenkirch and McBride [AM06]. *Towards Observational Type Theory*.

Altenkirch, McBride, and Swierstra [AMS07]. “Observational Equality, Now!”

- hierarchy of *closed/inductive* universes of Bishop sets, props
- heterogeneous equality type $\mathbf{Eq}(M : A, N : B)$ defined as *generic program*, by recursion on type codes A, B

$$\begin{aligned} \mathbf{Eq}(F_0 : A_0 \rightarrow B_0, F_1 : A_1 \rightarrow B_1) = \\ (x_0 : A_0)(x_1 : A_1)(\tilde{x} : \mathbf{Eq}(x_0 : A_0, x_1 : A_1)) \\ \rightarrow \mathbf{Eq}(F_0(x_0) : B_0, F_1(x_1) : B_1) \end{aligned} \quad (\text{funext})$$

- judgmental UIP (proof irrelevance)
- many primitives: reflexivity, respect, coercion, coherence, heterogeneous irrelevance (see Altenkirch, McBride, and Swierstra [AMS07])

Observational Type Theory

a big inspiration for me to get into type theory:

Altenkirch and McBride [AM06]. *Towards Observational Type Theory.*

Altenkirch, McBride, and Swierstra [AMS07]. “Observational Equality, Now!”

- hierarchy of *closed/inductive* universes of Bishop sets, props
- heterogeneous equality type $\mathbf{Eq}(M : A, N : B)$ defined as *generic program*, by recursion on type codes A, B

$$\begin{aligned} \mathbf{Eq}(F_0 : A_0 \rightarrow B_0, F_1 : A_1 \rightarrow B_1) = \\ (x_0 : A_0)(x_1 : A_1)(\tilde{x} : \mathbf{Eq}(x_0 : A_0, x_1 : A_1)) \\ \rightarrow \mathbf{Eq}(F_0(x_0) : B_0, F_1(x_1) : B_1) \end{aligned} \quad (\text{funext})$$

- judgmental UIP (proof irrelevance)
- many primitives: reflexivity, respect, coercion, coherence, heterogeneous irrelevance (see Altenkirch, McBride, and Swierstra [AMS07])
- metatheory: canonicity, decidability of type checking

the primitives of OTT

- reflexivity, symmetry, transitivity

the primitives of OTT

- reflexivity, symmetry, transitivity
- respect

$$\frac{A : \mathbf{U} \quad x : A \vdash B[x] : \mathbf{U} \quad M_0, M_1 : A \quad \widetilde{M} : \mathbf{Eq}(M_0 : A, M_1 : A)}{\mathbf{resp}_{x:A.B[x]}(M_0, M_1, \widetilde{M}) : \mathbf{Eq}(B[M_0] : \mathbf{U}, B[M_1] : \mathbf{U})}$$

the primitives of OTT

- reflexivity, symmetry, transitivity
- respect

$$\frac{\begin{array}{l} A : \mathbf{U} \quad x : A \vdash B[x] : \mathbf{U} \\ M_0, M_1 : A \quad \widetilde{M} : \mathbf{Eq}(M_0 : A, M_1 : A) \end{array}}{\mathbf{resp}_{x:A.B[x]}(M_0, M_1, \widetilde{M}) : \mathbf{Eq}(B[M_0] : \mathbf{U}, B[M_1] : \mathbf{U})}$$

- coercion

$$\frac{A, B : \mathbf{U} \quad Q : \mathbf{Eq}(A : \mathbf{U}, B : \mathbf{U}) \quad M : A}{[Q] \downarrow_B^A M : B}$$

the primitives of OTT

- reflexivity, symmetry, transitivity
- respect

$$\frac{\begin{array}{l} A : \mathbf{U} \quad x : A \vdash B[x] : \mathbf{U} \\ M_0, M_1 : A \quad \widetilde{M} : \mathbf{Eq}(M_0 : A, M_1 : A) \end{array}}{\mathbf{resp}_{x:A.B[x]}(M_0, M_1, \widetilde{M}) : \mathbf{Eq}(B[M_0] : \mathbf{U}, B[M_1] : \mathbf{U})}$$

- coercion

$$\frac{A, B : \mathbf{U} \quad Q : \mathbf{Eq}(A : \mathbf{U}, B : \mathbf{U}) \quad M : A}{[Q] \downarrow_B^A M : B}$$

- coherence

$$\frac{A, B : \mathbf{U} \quad Q : \mathbf{Eq}(A : \mathbf{U}, B : \mathbf{U}) \quad M : A}{[[Q]] \downarrow_B^A M : \mathbf{Eq}(A : M, B : [Q] \downarrow_B^A M)}$$

the primitives of OTT

- reflexivity, symmetry, transitivity
- respect

$$\frac{\begin{array}{l} A : \mathbf{U} \quad x : A \vdash B[x] : \mathbf{U} \\ M_0, M_1 : A \quad \widetilde{M} : \mathbf{Eq}(M_0 : A, M_1 : A) \end{array}}{\mathbf{resp}_{x:A.B[x]}(M_0, M_1, \widetilde{M}) : \mathbf{Eq}(B[M_0] : \mathbf{U}, B[M_1] : \mathbf{U})}$$

- coercion

$$\frac{A, B : \mathbf{U} \quad Q : \mathbf{Eq}(A : \mathbf{U}, B : \mathbf{U}) \quad M : A}{[Q] \downarrow_B^A M : B}$$

- coherence

$$\frac{A, B : \mathbf{U} \quad Q : \mathbf{Eq}(A : \mathbf{U}, B : \mathbf{U}) \quad M : A}{[[Q]] \downarrow_B^A M : \mathbf{Eq}(A : M, B : [Q] \downarrow_B^A M)}$$

(many of these can be *defined* in the Agda model of **OTT**, but must be *primitive operations* in “real” **OTT**.)

cubical reconstruction: XTT

goal: find smaller set of primitives which systematically generate (something in the spirit of) **OTT**

idea: start with Cartesian cubical type theory [ABCFHL], restrict to *Bishop sets* à la Coquand [Coq17]

the **XTT** paper

Sterling, Angiuli, and Gratzer [SAG19]. “Cubical Syntax for Reflection-Free Extensional Equality”. *Formal Structures for Computation and Deduction (FSCD 2019)*.

see also Chapman, Forsberg, and McBride [CFM18] (“The Box of Delights (Cubical Observational Type Theory)”) for the beginnings of a different account of Cubical **OTT**.

(we won't talk about propositions or quotients today. but talk to me about it after! there is a strictness mismatch in both **OTT,XTT**.)

XTT: equality using the interval

rather than defining heterogeneous equality by recursion on type structure, define *dependent equality* all at once using a formal interval:

$$\frac{}{0, 1 : \mathbb{I}} \quad \frac{\text{EQ FORMATION} \quad i : \mathbb{I} \vdash A : \mathbf{U} \quad M : A[0] \quad N : A[1]}{\mathbf{Eq}_{i.A[i]}(M, N) : \mathbf{U}}$$

EQ INTRODUCTION

$$\frac{i : \mathbb{I} \vdash M[i] : A[i] \quad M[0] = N_0 : A[0] \quad M[1] = N_1 : A[1]}{\lambda i.M[i] : \mathbf{Eq}_{i.A[i]}(N_0, N_1)}$$

EQ ELIMINATION

$$\frac{M : \mathbf{Eq}_{i.A[i]}(N_0, N_1) \quad r : \mathbb{I}}{M(r) : A[r] \quad M(0) = N_0 : A[0] \quad M(1) = N_1 : A[1]}$$

(along with more β, η rules, etc.)

function extensionality in XTT

we have function extensionality by swapping quantifiers:

$$\frac{F_0, F_1 : A \rightarrow B \quad Q : (x : A) \rightarrow \mathbf{Eq}_{_B}(F_0(x), F_1(x))}{\lambda i. \lambda x. Q(x)(i) : \mathbf{Eq}_{_A \rightarrow B}(F_0, F_1)}$$

“respect” is just function application

given $A : \mathbf{U}$ and $x : A \vdash B[x] : \mathbf{U}$ and $Q : \mathbf{Eq}_{-A}(M_0, M_1)$, we have:

$$\lambda i. B[Q(i)] : \mathbf{Eq}_{-U}(B[M_0], B[M_1])$$

judgmental UIP via *boundary separation*

in **OTT**, we always have $Q_0 = Q_1 : \mathbf{Eq}(M : A, N : B)$; we achieve this modularly using a *boundary separation*¹ rule:

$$\frac{r : \mathbb{I} \quad r = 0 \vdash M = N : A \quad r = 1 \vdash M = N : A}{M = N : A}$$

(does not mention equality type!!)

given $Q_0, Q_1 : \mathbf{Eq}_{i.A}(M, N)$, we have $Q_0 = Q_1 : \mathbf{Eq}_{i.A}(M, N)$ by the β, η, ξ rules of the equality type, together with boundary separation.

¹(it is a presheaf separation condition for a certain coverage on the category of contexts)

generalized coercion: coercion, coherence, and more

we generalize **OTT**'s coercion $[Q] \downarrow_B^A M$ and coherence $\llbracket Q \rrbracket \downarrow_B^A M$ with a single operator to coerce between parts of a cube [ABCFHL]:

$$\frac{r, r' : \mathbb{I} \quad i : \mathbb{I} \vdash A[i] : \mathbf{U} \quad M : A[r]}{[i.A[i]] \downarrow_{r'}^r M : A[r']}$$

given $Q : \mathbf{Eq}_{\mathbf{U}}(A, B)$, we define:

$$\begin{aligned} [Q] \downarrow_B^A M &= [i.Q(i)] \downarrow_1^0 M \\ \llbracket Q \rrbracket \downarrow_B^A M &= \lambda i. [j.Q(j)] \downarrow_i^0 M \end{aligned}$$

slogan: coherence is just coercion from a point to a line

like in **OTT** (but unlike **CuTT**), coercion must be calculated by recursion on A, B rather than Q ; requires closed universe. ask me why!

subjective metatheory: counting grains of sand

we used to study the metatheory of *presentations* of type theories, not of type theories.

subjective metatheory: counting grains of sand

we used to study the metatheory of *presentations* of type theories, not of type theories.

1. “raw” terms, “raw” substitution, insufficient annotations (*a priori* no determinate notion of model, nor interpretation)
2. ???
3. interpretation into models???

subjective metatheory: counting grains of sand

we used to study the metatheory of *presentations* of type theories, not of type theories.

1. “raw” terms, “raw” substitution, insufficient annotations (*a priori* no determinate notion of model, nor interpretation)
2. [prove normalization for raw syntax \(but without using model theory!\)](#)
3. interpretation into models???

subjective metatheory: counting grains of sand

we used to study the metatheory of *presentations* of type theories, not of type theories.

1. “raw” terms, “raw” substitution, insufficient annotations (*a priori* no determinate notion of model, nor interpretation)
2. **prove normalization for raw syntax (but without using model theory!)**
 - 2.1 operational semantics
 - 2.2 PER “model” of type theory
 - 2.3 logical relation between syntax and PER “model”(~ 200 pages of work)
3. interpretation into models???

subjective metatheory: counting grains of sand

we used to study the metatheory of *presentations* of type theories, not of type theories.

1. “raw” terms, “raw” substitution, insufficient annotations (*a priori* no determinate notion of model, nor interpretation)
2. **prove normalization for raw syntax (but without using model theory!)**
 - 2.1 operational semantics
 - 2.2 PER “model” of type theory
 - 2.3 logical relation between syntax and PER “model”(~ 200 pages of work)
3. sound & complete interpretation (~ 100 more pages of work)

subjective metatheory: counting grains of sand

we used to study the metatheory of *presentations* of type theories, not of type theories.

1. “raw” terms, “raw” substitution, insufficient annotations (*a priori* no determinate notion of model, nor interpretation)
2. prove normalization for raw syntax (but without using model theory!)
 - 2.1 operational semantics
 - 2.2 PER “model” of type theory
 - 2.3 logical relation between syntax and PER “model”(~ 200 pages of work)
3. sound & complete interpretation (~ 100 more pages of work)

actually this is totally intractable to do more than once! let’s bootstrap it a different way.

objective metatheory and categorical gluing

a new (old) *syntax-invariant* approach to metatheory

²See also Coquand, Huber, and Sattler [CHS19], Kaposi, Huber, and Sattler [KHS19], and Shulman [Shu15].

objective metatheory and categorical gluing

a new (old) **syntax-invariant** approach to metatheory

1. type theory is essentially algebraic (insist on it!) [Car86; ACD08; Awo18; Uem19]; **presentations considered up to isomorphism**

²See also Coquand, Huber, and Sattler [CHS19], Kaposi, Huber, and Sattler [KHS19], and Shulman [Shu15].

objective metatheory and categorical gluing

a new (old) **syntax-invariant** approach to metatheory

1. type theory is essentially algebraic (insist on it!) [Car86; ACD08; Awo18; Uem19]; **presentations considered up to isomorphism**
2. each type theory \mathbb{T} *automatically* induces a category of algebras with initial object (soundness and completeness); initial algebra is covered by *fully-annotated* De Bruijn syntax (but this doesn't matter)

²See also Coquand, Huber, and Sattler [CHS19], Kaposi, Huber, and Sattler [KHS19], and Shulman [Shu15].

objective metatheory and categorical gluing

a new (old) **syntax-invariant** approach to metatheory

1. type theory is essentially algebraic (insist on it!) [Car86; ACD08; Awo18; Uem19]; **presentations considered up to isomorphism**
2. each type theory \mathbb{T} *automatically* induces a category of algebras with initial object (soundness and completeness); initial algebra is covered by *fully-annotated* De Bruijn syntax (but this doesn't matter)
3. easily prove **canonicity, normalization, decidability of type checking** for initial \mathbb{T} -algebra using **categorical gluing**/logical families [Coq18]²

²See also Coquand, Huber, and Sattler [CHS19], Kaposi, Huber, and Sattler [KHS19], and Shulman [Shu15].

objective metatheory and categorical gluing

a new (old) **syntax-invariant** approach to metatheory

1. type theory is essentially algebraic (insist on it!) [Car86; ACD08; Awo18; Uem19]; **presentations considered up to isomorphism**
2. each type theory \mathbb{T} *automatically* induces a category of algebras with initial object (soundness and completeness); initial algebra is covered by *fully-annotated* De Bruijn syntax (but this doesn't matter)
3. easily prove **canonicity, normalization, decidability of type checking** for initial \mathbb{T} -algebra using **categorical gluing**/logical families [Coq18]²
4. relate “informal” & unannotated syntax to initial \mathbb{T} -algebra by elaboration (using the above)

²See also Coquand, Huber, and Sattler [CHS19], Kaposi, Huber, and Sattler [KHS19], and Shulman [Shu15].

objective metatheory and categorical gluing

a new (old) **syntax-invariant** approach to metatheory

1. type theory is essentially algebraic (insist on it!) [Car86; ACD08; Awo18; Uem19]; **presentations considered up to isomorphism**
2. each type theory \mathbb{T} *automatically* induces a category of algebras with initial object (soundness and completeness); initial algebra is covered by *fully-annotated* De Bruijn syntax (but this doesn't matter)
3. easily prove **canonicity, normalization, decidability of type checking** for initial \mathbb{T} -algebra using **categorical gluing**/logical families [Coq18]²
4. relate “informal” & unannotated syntax to initial \mathbb{T} -algebra by elaboration (using the above)

the language of category theory makes each of the preceding steps “easy”, and independent of syntax / representation details. **no raw terms, no PERs.**

²See also Coquand, Huber, and Sattler [CHS19], Kaposi, Huber, and Sattler [KHS19], and Shulman [Shu15].

cubical gluing: canonicity for **XTT**

to warm up, we proved [canonicity](#) for **XTT** using a [cubical gluing](#) technique (independently proposed by Awodey).

³Circulated by S. Awodey in 2015.

cubical gluing: canonicity for **XTT**

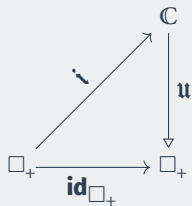
to warm up, we proved **canonicity** for **XTT** using a **cubical gluing** technique (independently proposed by Awodey). Let \square_+ be the completion of \square with an initial object (i.e. constrained dimension contexts); \mathbb{C} is the (fibered) category of **XTT**-contexts.

$$\begin{array}{c} \mathbb{C} \\ \downarrow \mathbf{u} \\ \square_+ \end{array}$$

³Circulated by S. Awodey in 2015.

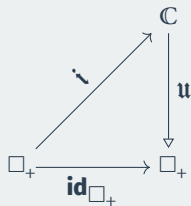
cubical gluing: canonicity for **XTT**

to warm up, we proved **canonicity** for **XTT** using a **cubical gluing** technique (independently proposed by Awodey). Let \square_+ be the completion of \square with an initial object (i.e. constrained dimension contexts); \mathbb{C} is the (fibered) category of **XTT**-contexts.



cubical gluing: canonicity for **XTT**

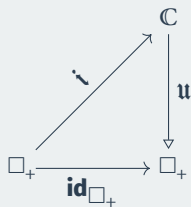
to warm up, we proved **canonicity** for **XTT** using a **cubical gluing** technique (independently proposed by Awodey). Let \square_+ be the completion of \square with an initial object (i.e. constrained dimension contexts); \mathbb{C} is the (fibered) category of **XTT**-contexts.



the splitting of \mathbf{u} interprets dimension substitutions, as well as “relatively terminal” contexts $\mathbf{i}(\Psi) : \mathbb{C}$ for each $\Psi : \square_+$.

cubical gluing: canonicity for XTT

to warm up, we proved **canonicity** for **XTT** using a **cubical gluing** technique (independently proposed by Awodey). Let \square_+ be the completion of \square with an initial object (i.e. constrained dimension contexts); \mathbb{C} is the (fibered) category of **XTT**-contexts.



the splitting of \mathbf{u} interprets dimension substitutions, as well as “relatively terminal” contexts $\mathbf{i}(\Psi) : \mathbb{C}$ for each $\Psi : \square_+$. **we further obtain a “nerve”**:³

$$\mathbf{N} : \mathbb{C} \longrightarrow \mathbf{Pr}(\square_+)$$

$$\mathbf{N}(\Gamma) = \mathbb{C}[\mathbf{i}(-), \Gamma]$$

³Circulated by S. Awodey in 2015.

gluing along the cubical nerve

by gluing the codomain fibration along $\mathbb{C} \xrightarrow{\mathbf{N}} \mathbf{Pr}(\square_+)$, we obtain a category of *cubical logical families* (proof-relevant Kripke logical predicates):

$$\begin{array}{ccc} & & \mathbf{Pr}(\square_+)^2 \\ & & \downarrow \text{cod} \\ \mathbb{C} & \xrightarrow{\mathbf{N}} & \mathbf{Pr}(\square_+) \end{array}$$

gluing along the cubical nerve

by gluing the codomain fibration along $\mathbb{C} \xrightarrow{\mathbf{N}} \mathbf{Pr}(\square_+)$, we obtain a category of *cubical logical families* (proof-relevant Kripke logical predicates):

$$\begin{array}{ccc} \tilde{\mathbb{C}} & \xrightarrow{\quad \quad \quad} & \mathbf{Pr}(\square_+)^2 \\ \downarrow & \lrcorner & \downarrow \text{cod} \\ \mathbb{C} & \xrightarrow{\quad \mathbf{N} \quad} & \mathbf{Pr}(\square_+) \end{array}$$

gluing along the cubical nerve

by gluing the codomain fibration along $\mathbb{C} \xrightarrow{\mathbf{N}} \mathbf{Pr}(\square_+)$, we obtain a category of *cubical logical families* (proof-relevant Kripke logical predicates):

$$\begin{array}{ccc} \tilde{\mathbb{C}} & \longrightarrow & \mathbf{Pr}(\square_+)^2 \\ \downarrow & \lrcorner & \downarrow \text{cod} \\ \mathbb{C} & \xrightarrow{\mathbf{N}} & \mathbf{Pr}(\square_+) \end{array}$$

idea: lift the **XTT**-algebra structure from \mathbb{C} to $\tilde{\mathbb{C}}$, yielding canonicity at base type for *any* representative of the initial **XTT**-algebra \mathbb{C} .

summary of contributions

- (Cartesian) cubical reconstruction of **OTT**
- first steps in objective metatheory for cubical type theory
 - algebraic model theory
 - (strict) canonicity by gluing
- next: normalization, decidability of type checking, elaboration!

References I

- [ABCFHL] Carlo Angiuli, Guillaume Brunerie, Thierry Coquand, Kuen-Bang Hou (Favonia), Robert Harper, and Daniel R. Licata. “Syntax and Models of Cartesian Cubical Type Theory”. Preprint. Feb. 2019. URL: <https://github.com/dlicata335/cart-cube> (cit. on pp. 22, 27).
- [ACD08] Andreas Abel, Thierry Coquand, and Peter Dybjer. “On the Algebraic Foundation of Proof Assistants for Intuitionistic Type Theory”. In: *Functional and Logic Programming*. Ed. by Jacques Garrigue and Manuel V. Hermenegildo. Berlin, Heidelberg: Springer Berlin Heidelberg, 2008, pp. 3–13. ISBN: 978-3-540-78969-7 (cit. on pp. 34–39).
- [AFH17] Carlo Angiuli, Kuen-Bang Hou (Favonia), and Robert Harper. *Computational Higher Type theory III: Univalent Universes and Exact Equality*. 2017. arXiv: [1712.01800](https://arxiv.org/abs/1712.01800).

References II

- [AK16a] Thorsten Altenkirch and Ambrus Kaposi. “Normalisation by Evaluation for Dependent Types”. In: *1st International Conference on Formal Structures for Computation and Deduction (FSCD 2016)*. Ed. by Delia Kesner and Brigitte Pientka. Vol. 52. Leibniz International Proceedings in Informatics (LIPIcs). Dagstuhl, Germany: Schloss Dagstuhl–Leibniz-Zentrum fuer Informatik, 2016, 6:1–6:16. ISBN: 978-3-95977-010-1. DOI: [10.4230/LIPIcs.FSCD.2016.6](https://doi.org/10.4230/LIPIcs.FSCD.2016.6).
- [AK16b] Thorsten Altenkirch and Ambrus Kaposi. “Type Theory in Type Theory Using Quotient Inductive Types”. In: *Proceedings of the 43rd Annual ACM SIGPLAN-SIGACT Symposium on Principles of Programming Languages*. POPL '16. St. Petersburg, FL, USA: ACM, 2016, pp. 18–29. ISBN: 978-1-4503-3549-2. DOI: [10.1145/2837614.2837638](https://doi.org/10.1145/2837614.2837638).

References III

- [AM06] Thorsten Altenkirch and Conor McBride. *Towards Observational Type Theory*. 2006. URL: www.strictlypositive.org/ott.pdf (cit. on pp. 11–16).
- [AMB13] Guillaume Allais, Conor McBride, and Pierre Boutillier. “New Equations for Neutral Terms: A Sound and Complete Decision Procedure, Formalized”. In: *Proceedings of the 2013 ACM SIGPLAN Workshop on Dependently-typed Programming*. DTP ’13. Boston, Massachusetts, USA: ACM, 2013, pp. 13–24. ISBN: 978-1-4503-2384-0. DOI: [10.1145/2502409.2502411](https://doi.org/10.1145/2502409.2502411).
- [AMS07] Thorsten Altenkirch, Conor McBride, and Wouter Swierstra. “Observational Equality, Now!” In: *Proceedings of the 2007 Workshop on Programming Languages Meets Program Verification*. PLPV ’07. Freiburg, Germany: ACM, 2007, pp. 57–68. ISBN: 978-1-59593-677-6 (cit. on pp. 11–16).

References IV

- [Awo18] Steve Awodey. “Natural models of homotopy type theory”. In: *Mathematical Structures in Computer Science* 28.2 (2018), pp. 241–286. DOI: [10.1017/S0960129516000268](https://doi.org/10.1017/S0960129516000268) (cit. on pp. 34–39).
- [BD08] Alexandre Buisse and Peter Dybjer. “Towards formalizing categorical models of type theory in type theory”. In: *Electronic Notes in Theoretical Computer Science* 196 (2008), pp. 137–151.
- [Car86] John Cartmell. “Generalised algebraic theories and contextual categories”. In: *Annals of Pure and Applied Logic* 32 (1986), pp. 209–243. ISSN: 0168-0072 (cit. on pp. 34–39).
- [CCD17] Simon Castellan, Pierre Clairambault, and Peter Dybjer. “Undecidability of Equality in the Free Locally Cartesian Closed Category (Extended version)”. In: *Logical Methods in Computer Science* 13.4 (2017).

References V

- [CCHM17] Cyril Cohen, Thierry Coquand, Simon Huber, and Anders Mörtberg. “Cubical Type Theory: a constructive interpretation of the univalence axiom”. In: *IfCoLog Journal of Logics and their Applications* 4.10 (Nov. 2017), pp. 3127–3169. URL: <http://www.collegepublications.co.uk/journals/ifcolog/?00019>.
- [CFM18] James Chapman, Fredrik Nordvall Forsberg, and Conor McBride. “The Box of Delights (Cubical Observational Type Theory)”. Unpublished note. Jan. 2018. URL: <https://github.com/msp-strath/platypus/blob/master/January18/doc/CubicalOTT/CubicalOTT.pdf> (cit. on p. 22).

References VI

- [CHS19] Thierry Coquand, Simon Huber, and Christian Sattler. “Homotopy canonicity for cubical type theory”. In: *Proceedings of the 4th International Conference on Formal Structures for Computation and Deduction (FSCD 2019)*. Ed. by Herman Geuvers. Vol. 131. 2019 (cit. on pp. 34–39).
- [Coq17] Thierry Coquand. *Universe of Bishop sets*. Feb. 2017. URL: <http://www.cse.chalmers.se/~coquand/bishop.pdf> (cit. on p. 22).
- [Coq18] Thierry Coquand. *Canonicity and normalization for Dependent Type Theory*. Oct. 2018. arXiv: [1810.09367](https://arxiv.org/abs/1810.09367) (cit. on pp. 34–39).
- [Fio02] Marcelo Fiore. “Semantic Analysis of Normalisation by Evaluation for Typed Lambda Calculus”. In: *Proceedings of the 4th ACM SIGPLAN International Conference on Principles and Practice of Declarative Programming*. PPDP '02. Pittsburgh, PA, USA: ACM, 2002, pp. 26–37. ISBN: 1-58113-528-9. DOI: [10.1145/571157.571161](https://doi.org/10.1145/571157.571161).

References VII

- [Hub18] Simon Huber. “Canonicity for Cubical Type Theory”. In: *Journal of Automated Reasoning* (June 13, 2018). ISSN: 1573-0670. DOI: [10.1007/s10817-018-9469-1](https://doi.org/10.1007/s10817-018-9469-1).
- [JT93] Achim Jung and Jerzy Tiuryn. “A new characterization of lambda definability”. In: *Typed Lambda Calculi and Applications*. Ed. by Marc Bezem and Jan Friso Groote. Berlin, Heidelberg: Springer Berlin Heidelberg, 1993, pp. 245–257. ISBN: 978-3-540-47586-6.
- [KHS19] Ambrus Kaposi, Simon Huber, and Christian Sattler. “Gluing for type theory”. In: *Proceedings of the 4th International Conference on Formal Structures for Computation and Deduction (FSCD 2019)*. Ed. by Herman Geuvers. Vol. 131. 2019 (cit. on pp. 34–39).
- [KKA19] Ambrus Kaposi, András Kovács, and Thorsten Altenkirch. “Constructing Quotient Inductive-inductive Types”. In: *Proc. ACM Program. Lang.* 3.POPL (Jan. 2019), 2:1–2:24. ISSN: 2475-1421. DOI: [10.1145/3290315](https://doi.org/10.1145/3290315).

References VIII

- [ML75a] Per Martin-Löf. “About Models for Intuitionistic Type Theories and the Notion of Definitional Equality”. In: *Proceedings of the Third Scandinavian Logic Symposium*. Ed. by Stig Kanger. Vol. 82. Studies in Logic and the Foundations of Mathematics. Elsevier, 1975, pp. 81–109.
- [ML75b] Per Martin-Löf. “An Intuitionistic Theory of Types: Predicative Part”. In: *Logic Colloquium '73*. Ed. by H. E. Rose and J. C. Shepherdson. Vol. 80. Studies in Logic and the Foundations of Mathematics. Elsevier, 1975, pp. 73–118. DOI: [10.1016/S0049-237X\(08\)71945-1](https://doi.org/10.1016/S0049-237X(08)71945-1).
- [MS93] John C. Mitchell and Andre Scedrov. “Notes on scoping and relators”. In: *Computer Science Logic*. Ed. by E. Börger, G. Jäger, H. Kleine Büning, S. Martini, and M. M. Richter. Berlin, Heidelberg: Springer Berlin Heidelberg, 1993, pp. 352–378. ISBN: 978-3-540-47890-4.

References IX

- [SAG19] Jonathan Sterling, Carlo Angiuli, and Daniel Gratzer. “Cubical Syntax for Reflection-Free Extensional Equality”. In: *Proceedings of the 4th International Conference on Formal Structures for Computation and Deduction (FSCD 2019)*. Ed. by Herman Geuvers. Vol. 131. 2019. DOI: [10.4230/LIPIcs.FSCD.2019.32](https://doi.org/10.4230/LIPIcs.FSCD.2019.32). arXiv: [1904.08562](https://arxiv.org/abs/1904.08562) (cit. on p. 22).
- [Shu06] Michael Shulman. *Scones, Logical Relations, and Parametricity*. Blog. 2006. URL: https://golem.ph.utexas.edu/category/2013/04/scones_logical_relations_and_p.html.
- [Shu15] Michael Shulman. “Univalence for inverse diagrams and homotopy canonicity”. In: *Mathematical Structures in Computer Science* 25.5 (2015), pp. 1203–1277. DOI: [10.1017/S0960129514000565](https://doi.org/10.1017/S0960129514000565) (cit. on pp. 34–39).
- [SS18] Jonathan Sterling and Bas Spitters. *Normalization by gluing for free λ -theories*. Sept. 2018. arXiv: [1809.08646](https://arxiv.org/abs/1809.08646) [cs.LO].

References X

- [Ste18] Jonathan Sterling. *Algebraic Type Theory and Universe Hierarchies*. Dec. 2018. arXiv: [1902.08848](https://arxiv.org/abs/1902.08848) [math.LO].
- [Str91] Thomas Streicher. *Semantics of Type Theory: Correctness, Completeness, and Independence Results*. Cambridge, MA, USA: Birkhauser Boston Inc., 1991. ISBN: 0-8176-3594-7.
- [Str94] Thomas Streicher. *Investigations Into Intensional Type Theory*. Habilitationsschrift, Universität München. 1994.
- [Str98] Thomas Streicher. “Categorical intuitions underlying semantic normalisation proofs”. In: *Preliminary Proceedings of the APPSEM Workshop on Normalisation by Evaluation*. Ed. by O. Danvy and P. Dybjer. Department of Computer Science, Aarhus University, 1998.
- [Uem19] Taichi Uemura. *A General Framework for the Semantics of Type Theory*. 2019. arXiv: [1904.04091](https://arxiv.org/abs/1904.04091) (cit. on pp. 34–39).

- [Voe16] Vladimir Voevodsky. *Mathematical theory of type theories and the initiality conjecture*. Research proposal to the Templeton Foundation for 2016-2019, project description. Apr. 2016. URL: <http://www.math.ias.edu/Voevodsky/other/Voevodsky%20Templeton%20proposal.pdf>.